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Commissioner for Patents  
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on July 28, 2003

Date of Deposit

Anastasia Heffner

Name of applicant, assignee or  
Registered Representative

Anastasia Heffner

Signature

7-28-03

Date of Signature

Our Case No. 7103/205

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Li et al.

Serial No. 09/938,056

Filing Date: August 23, 2001

For MULTI-STEP POLISHING SYSTEM  
AND PROCESS OF USING SAME

Examiner: George Nguyen

Group Art Unit No.: 3723

## AMENDMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In reply to the Office Action dated May 28, 2003, Applicants have filed this Amendment by Certificate of Mailing. Applicant respectfully requests the Examiner to withdraw the objections and rejections to this application and to grant allowance of this Application in view of the following remarks and amended claims:

TRANSMITTAL LETTER			Case No.
Serial No. 09/938,056	Filing Date August 23, 2001	Examiner George Nguyen	Group Art Unit 3723
Inventor(s) Li et al.			
Title of Invention MULTI-STEP POLISHING SYSTEM AND PROCESS OF USING SAME			

## TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Transmittal: Amendment.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ Applicant claims small entity status. See 37 CFR 1.27.
- ☐ Petition for a \_\_\_\_\_ month extension of time.
- ☒ No additional fee is required.
- ☐ The fee has been calculated as shown below:

					Small Entity		or	Other Than Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee		Rate	Add'l Fee
Total		Minus			x \$9=			x \$18=	
Indep.		Minus			x 42=			x \$84=	
First Presentation of Multiple Dep. Claim					+\$140=			+\$280=	
					Total add'l fee	\$		Total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$\_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Anastasia Heffner  
 Anastasia Heffner  
 Registration No. 47,638  
 Attorney for Applicant  
 Customer No. 00757 - Brinks Hofer Gilson Lione

BRINKS HOFER GILSON & LIONE  
 P.O. BOX 10395  
 CHICAGO, ILLINOIS 60610  
 (312) 321-4200

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 Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on July 28, 2003 at (703) 305-3579.

Date: 7-28-03 Signature: Anastasia Heffner

**FACSIMILE COVER SHEET**

Date: July 28, 2003  
To: George Nguyen  
Examiner  
Fax No: (703) 305-3579  
From: Anastasia Heffner  
Tel. No: (312) 321-4896  
Client No: 7103/205

No. of Pages  
(inc. this page): 7

Confirmation Copy To Follow: Yes ☐ No ☒

IF YOU HAVE ANY PROBLEMS RECEIVING THIS MESSAGE,  
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**COVER MESSAGE:**

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& LIONE**

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JUL 28 2003

**GROUP 3700**